Claim Lodged Under Guarantee Issued User Guide Oracle Banking Trade Finance Process Management

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Oracle Banking Trade Finance Process Management - Claim Lodged Under Guarantee Issued User Guide Oracle Financial Services Software Limited

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Oracle Banking Trade Finance Process Management

Welcome to the Oracle Banking Trade Finance Process Management (OBTFPM) User Guide. This guide provides an overview on the OBTFPM application and takes you through the various steps involved in creating and processing Trade Finance transactions.

This document will take you through following activities in OBTFPM:

- To create and handle Trade Finance transaction.
- Help users to conveniently create and process Trade Finance transaction.

Overview

OBTFPM is a trade finance middle office platform, which enables bank to streamline the trade finance operations. OBTFPM enables the customers to send request for new trade finance transaction either by visiting the branch (offline channels) or through SWIFT/Trade Portal/other external systems (online channels).

Benefits

OBTFPM helps banks to manage Trade Finance operations across the globe in different currencies. OBTFPM allows you to:

- Handle all Trade Finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of trade transactions that reoccur periodically.

Key Features

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.



Lodge Claim Guarantee Issued

As part of Lodge Claim - Guarantee Issued process, the applicant can lodge a claim against the Guarantee/SBLC issued.

The various scenarios to lodge the complaint against the guarantee issued:

- Claim received from beneficiary directly at Issuing Bank
- Claim received from the Counter Issuing Bank (CIB) at Counter Counter Issuing Bank (enable SWIFT STP)
- Claim received from LIB at CIB (enable SWIFT STP)
- Claim received from advising bank/ATB at ISB (enable SWIFT STP)
- Claim received from beneficiary bank through a swift message at ISB (enable SWIFT STP)
- Claim received at the LIB to be claimed with CIB-Enable SWIFT STP
- Claim received from LIB at CIB to be claimed from CCIB- Enable SWIFT STP

In the subsequent sections, let's look at the details for Lodge Claim - Guarantee Issuance process:

This section contains the following topics:

Common Initiation Stage	Registration
Scrutiny	Data Enrichment
Multi Level Approval	

Common Initiation Stage

The user can initiate the new claim under Guarantee Issued request from the common Initiate Task screen.

- 1. Using the entitled login credentials, login to the OBTFPM application.
- 2. Click Trade Finance > Initiate Task.

= ORACLE	Initiate Task		(300) Jan 1, 2016	JEEVA02 subham@gmail.com
Menu Item Search C	Registration			
Core Maintenance	Process Name	Branch *		
Dashboard Machine Learning	Lodge Claim Guarantee Issued 🔹 🔻	PK2-Oracle Banking Trade Finan 🔻		
Maintenance				
Security Management				Proceed Clear
Tasks 🕨				
Trade Finance 👻				
Administration >				
Bank Guarantee Advise Bank Guarantee Issuan				
Enquiry				
Event Logs				
Export - Documentary 🕨				
Export - Documentary 🕨				
Import - Documentary >				
Import - Documentary >				
Initiate lask Shipping Guarantee				
Swift Processing				



Provide the details based on the description in the following table:

Field	Description
Process Name	Select the process name to initiate the task.
Branch	Select the branch.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description
Proceed	Task will get initiated to next logical stage.
Clear	The user can clear the contents update and can input values again.

Registration

During the Registration stage, the user can register a claim request against the Guarantee/SBLC issued.

The user can capture the basic details of the application, check the signature of the applicant and upload the related documents of the applicant.

1. Using the entitled login credentials for registration stage, login to the OBTFPM application.

루 FuTura Bank
Sign In
User Name *
SRIDHAR
Password *
Sign In
Cancel



Maintenance +	Draft Confirmation P	ending	×	Hand-off Failure		o ×	Priority Details		Ø ×	+
board	Customer Name	Application Date		Branch	Process Name	Stage Name	Branch	Process Name	Stage Name	
tenance										
۰ ۲	EMR & CO	25-06-2018	G	Bank Futura	NA	Retry HandOf	Bank Futura	NA	Amount Blo	
e Finance 🔹 🕨	NA	25-06-2018	G				Bank Futura	NA	Amount Blo	
	NA	21-06-2018	G				004	NA		
							004		Loan Applic	
		-						-		
	High Value Transaction	ons	o ×	SLA Breach Deta	ails	© ×	Priority Summar	Y Cucumber Te	* Ø ×	
	140K			Customer Name	SLA Breached	(mins) Prior	Branch Pr	ocess Name	Stage Name	
	100K			NA	23474 H	KEERTIV01				
	60K		● G8P	HSBC BANK	26667 M	SHUBHAM	203 Ci	cumber Testing	test descrip	
		ECCCO.		WALL MART	23495	SHUBHAM				
	-20K	6 8 10 12		EMR & CO	26780 M	GOPINATH01				
		-			_			_		
	Hold Transactions		o ×	SLA Status	Cucumber Testir	×, o	Tasks Detailed	Cucumber Testing	_ • ×	

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.

3. Click Trade Finance> Bank Guarantee Issuance > Lodge Claim - Guarantee Issued.

= ORACLE	Dashboard										⋒	(300) Jan 1, 2016			subh	JEEVA02 am@gmail.com
Menu Item Search 🤍	Hand-off	ailure								٥	×	SLA Status Summary		ø	×	+
Core Maintenance								Customer ID	Hand-off user							
Dashboard	300	Guarante	e Advise Amen	ndment		Handoff RetryTask	300GTAA000038578	001507	JEEVA01							
Machine Learning	300	Import LC	issuance			Handoff RetryTask	3001LC1000038584	001506	PREETI02							
Maintenance 🕨	300	Import LC				Handoff RetryTask	300ILCI000038575	001506	PREETIO2			No data	to display			
Security Management																
Tasks 🕨	300	Import I C	: Amendment			Handoff RetryTask	300II CA000038423	001506	KIRAN01							
Trade Finance 🔹	Priority Su	mmani		. ¢	×	High Priority Tasks								ø	×	
Administration 🕨	Phoney Su	minary		× *										~	<u> </u>	
Bank Guarantee Advise 🕨	No of Hig	h Priority Iter	ms		Þ	Customer ID				User	r ID					
Bank Guarantee Issua 🔻	No data	to display.				No data to display.										
Guarantee - SBLC Issu																
Guarantee Amendment																
Gurantee Issuance Am																
Lodge Claim - Guaran																
Enquiry Lodge Claim	SLA Breac									ф	×					
Event Logs		SLA Breached	d(mins)				User ID									
Export - Documentary >	No data	to display.														
Export - Documentary >																
Import - Documentar >																

The Registration stage has two sections Application Details and Guarantee Details. Let's look at the registration screens below:



Application Details

\equiv ORACLE [*]					ENTITY_ID1 (ENTITY_	I 1 FLEXCUBE UNIVERSAL BAN Aug 3, 2023	POORNIMA02 subham@gmail.com
Lodge Claim - Guarantee Issu Registration :: Application Ne		Documents	Remarks Overrides	Customer Instruction	Incoming Message		,* ×
Application Details - M	ain						
Undertaking Number		Received From - Customer ID *	-	Branch		Claim Serial Number	
032GUIS23215CFKT	Q,	032204 Air Arabia	D)	032-Oracle Banking Ti	rade Finan 🔻		
Process Reference Number		Priority		Submission Mode		Claim Received Date	
032GTEC000167393		Medium	×	Desk	w.	Aug 3, 2023	
Claim Lodgement Date		Beneficiary Reference Number		User Reference Numbe	er		
Aug 3, 2023	***			032GUIS23215CFKT			
						View Guarantee/SBLC	Guarantee/SBLC Events
Guarantee Details							
Guarantee Type		30 Date of Issue		Purpose of Message		23B Expiry Type	
BILL		Aug 3, 2023		ISSU		COND - With Expiry	*
31E Date of Expiry		Claim Date		Claim Expiry Date		Outstanding Currency/ Amount *	
Aug 3, 2027		Aug 3, 2026	***	Aug 23, 2027	<u> </u>	AED 🔻 AED 1,3	00.00
40C Applicable Rules		Applicant Bank		50 Applicant		59A Beneficiary	
URDG - Uniform rules for dema	-			032204 Air	Arabia	032205 Q Aldar Prope	rti 📴
Advising Bank		Advise Through Bank		Counter Guarantee Issu	uing Bank	Local Guarantee Issuing Bank	
Presenting Bank		Accountee					
						Hold Cancel	Save & Close Submit

Provide the Application Details based on the description in the following table:

	1	I
Field	Description	Sample Values
Undertaking Number	User can enter the undertaking number. The user can also search the undertaking number through LOV search.	
Customer ID/ Name	Read only field.	001345
	System defaults the Customer ID/ Name from Guarantee/ SBLC Issuance.	
Branch	Customer's home branch will be displayed.	203-Bank
	Read only field.	Futura -Branch FZ1
	System defaults the home branch from Guarantee/ SBLC Issuance.	
Claim Serial Number	Read only field.	
	System defaults the claim serial number from Guarantee/ SBLC Issuance. This should be the latest claim number available in back-end system +1.	
Process Reference	Read only field.	203GTEISS000
Number	Unique sequence number for the transaction.	001134
	This is auto generated by the system based on process name and branch code.	
Priority	Priority maintained will be populated as either 'Low or Medium or High'. If priority is not maintained for a customer, 'Medium' priority will be defaulted.	High
	The user can change the priority.	



Field	Description	Sample Values
Submission Mode	Select the submission mode of Guarantee Issuance request. By default the submission mode will have the value as 'Desk'.	Desk
	Desk- Request received through Desk	
	Fax - Request received through Fax	
	Email - Request received through Email	
Claim Received Date	By default, the application will display branch's current date.	04/13/2018
	Date on which claim is received. The user can change the date.	
Claim Lodgement Date	By default, the application will display branch's current date. Read only field.	04/13/2018
	Note Future date and back date selection is not allowed.	
Beneficiary Reference	Read only field.	
Number	System defaults the 'Beneficiary Reference number' if available.	
User Reference Number	Read only field.	PK2GUI121144
	System defaults the user reference number, depending on the selection ofUndertaking Number.	0001

Guarantee Details

Registration user can provide Guarantee details in this section. Alternately, guarantee details can be provided by Scrutiny user.

Guarantee Details			
Guarantee Type	30 Date of Issue	Purpose of Message	23B Expiry Type
BILL	Aug 3, 2023	ISSU	COND - With Expiry
31E Date of Expiry	Claim Date	Claim Expiry Date	Outstanding Currency/ Amount *
Aug 3, 2027	Aug 3, 2026	Aug 23, 2027	AED 🔻 AED 1,300.00
40C Applicable Rules	Applicant Bank	50 Applicant	59A Beneficiary
URDG - Uniform rules for dema 💌		032204 Air Arabia	032205 🔍 Aldar Properti 🕒
Advising Bank	Advise Through Bank	Counter Guarantee Issuing Bank	Local Guarantee Issuing Bank
Presenting Bank	Accountee		

Provide the Guarantee Details based on the description in the following table:

Field	Description	Sample Values
Guarantee Type	Read only field. System defaults the value from Guarantee/ SBLC Issuance.	ADVP



Field	Description	Sample Values
Date of Issue	Read only field.	04/13/18
	System defaults the value from Guarantee/ SBLC Issuance.	
Purpose of message	Read only field.	
	System defaults the purpose of message from Guarantee/ SBLC Issuance.	
Expiry Type	Read only field.	
	This field indicates whether undertaking has specified expiry date or is open-ended.	
	System defaults the expiry type from Guarantee/ SBLC Issuance.	
Date Of Expiry	Read only field.	09/30/18
	Expiry date of the Guarantee Issuance.	
	System defaults the expiry date from Guarantee/ SBLC Issuance.	
Claim Date	Read only field.	04/13/2018
	System defaults the claim date from Guarantee/ SBLC Issuance.	
Claim Expiry Date	Read only field.	04/13/2018
	System defaults the claim expiry date from Guarantee/ SBLC Issuance.	
Outstanding Currency/	Read only field.	
Amount	System defaults the outstanding currency and amount from Guarantee/ SBLC Issuance.	
Applicable Rules	Rules for Guarantee. Read only field.	URDG -
	System defaults the value from Guarantee/ SBLC Issuance.	Uniform rules for demand guarantees
Applicant Bank	Read only field.	001345 Nestle
	System defaults the applicant bank details from Guarantee/ SBLC Issuance.	
Applicant	Read only field.	001345 Nestle
	System defaults the applicant from Guarantee/ SBLC Issuance.	
Beneficiary	System defaults the beneficiary from Guarantee/ SBLC Issuance.	001345 Nestle
	User can modify the beneficiary if required.	
	Alternatively, click Search to search and select the beneficiary from the look-up.	



Field	Description	Sample Values
Advising Bank	Read only field. System defaults the advising bank if available.	001343 - Bank Of America
Advising Through Bank	Read only field. System defaults the advising through bank if available.	Advising Bank Reference
Counter Guarantee Issuing Bank	Read only field. System defaults the counter guarantee issuing through bank if available.	
Local Guarantee Issuing Bank	Read only field. System defaults the local guarantee issuing bank if available.	
Presenting Bank	Read only field. System defaults the presenting bank reference if available. Note Currently this field is not available in OBTF.	
Accountee	Read only field. System defaults the accountee name if available.	



Miscellaneous

\equiv ORACLE [®]					m entity_id1 (e	NTITY_I 1 FLEXCUBE UNIVERSAL BAN Aug 3, 2023	POORNIMA0 subham@gmail.cor
Lodge Claim - Guarantee Issu Registration :: Application No		Documents	Remarks Overrides	Customer Instruction	Incoming Message		,* ×
Application Details - M. Undertaking Number	ain	Received From - Customer ID *	_	Branch		Claim Serial Number	
032GUIS23215CFKT	Q	032204 Air Arabia	D	032-Oracle Banking	Trade Finan 🔻		
Process Reference Number		Priority		Submission Mode		Claim Received Date	
032GTEC000167393		Medium	v	Desk	τ.	Aug 3, 2023	**
Claim Lodgement Date		Beneficiary Reference Number		User Reference Num	ber		
Aug 3, 2023	***			032GUIS23215CFKT			
						View Guarantee/SBLC	Guarantee/SBLC Events
Guarantee Details							
Guarantee Type		30 Date of Issue		Purpose of Message		23B Expiry Type	
BILL		Aug 3, 2023	#	ISSU		COND - With Expiry	
31E Date of Expiry		Claim Date		Claim Expiry Date		Outstanding Currency/ Amount *	
Aug 3, 2027	***	Aug 3, 2026	 	Aug 23, 2027	<u></u>	AED 📼 AED 1,30	0.00
40C Applicable Rules		Applicant Bank		50 Applicant		59A Beneficiary	
URDG - Uniform rules for dema				032204 A	ir Arabia 🚺	032205 Q Aldar Proper	ti 💽
Advising Bank		Advise Through Bank		Counter Guarantee I	ssuing Bank	Local Guarantee Issuing Bank	
Presenting Bank		Accountee					
						Hold Cancel	Save & Close Submit

Provide the Miscellaneous Details based on the description in the following table:

Field	Description	Sample Values
Documents	Upload the claim documents.	
	Application will display the mandatory and optional documents.	
Remarks	Provide any additional information regarding the Claim Guarantee Issuance. This information can be viewed by other users processing the request.	
	Content from Remarks Field should be handed off to Remarks field in Backend application.	
Customer Instruction	Click to view/ input the following	
	 Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. 	
	• Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.	
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 765.	
View Guarantee/SBLC	User can view the latest Guarantee/Standby LC details.	
Guarantee/SBLC Events	User can view all the previous events under the Lodge Claim Guarantee Issued.	



Field	Description	Sample Values
Submit	On Submit, system will trigger acknowledgment to the customer and give confirmation message for successful submission. Task will get moved to next logical stage of Lodge Claim Guarantee Issued.	
	If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and holds the task in you queue for working later.	
	This option will not submit the request.	
Cancel	Cancels the Lodge Claim Guarantee Issued Registration stage input.	
Hold	The details provided will be registered and status will be on hold.	
	This option is used, if there are any pending information yet to be received from applicant.	
Checklist	Make sure that the details in the checklist are completed and acknowledge. If mandatory checklist items are not marked, system will display an error on submit.	
	1. Documents are verified and uploaded	
	2. Signatures on Claim verified	

Document Linkage

The user can link an existing uploaded document in any of the process stages.

In OBTFPM, system should display Document Ids available in the DMS system. In DMS system, the documents can be Uploaded and stored for future access. Every document stored in DMS will have a unique document id along with other Metadata. The uploaded Document image in the DMS should be available/queried in the Process flow stage screens to link with the task by using the Document ID.

System displays the Documents ids which is not linked with any of the task. Mid office should allow either upload the document or link the document during task processing. The Mid office should allow to Link the same Document in multiple tasks.

1. Navigate to the Registration screen.



2. On the header of Registration screen, click Documents button. The Document pop-up screen appears.

Documents			
Document Status All	•		
Letter of Credit Pro-forma Invoice	Letter of Credit Application Form	œ	

3. Click the Add Additional Documents button/ link. The **Document** screen appears.

Document Type *		Document Code *		
Letter of Credit	v	Insurance Policy		
Document Title *		Document Description		
Remarks		Document Expiry Date		

Drop files here or click	to select	Link Document		
Selected files: []				
Selected files: []			Upload	nk Cancel
Selected files: []	Descript	tion	Upload	nk Cancel Sample Va
		tion e Document type from list.	Upload	
əld	Select the			
əld	Select the	e Document type from list.		
eld ocument Type	Select the Indicates Select the	e Document type from list. the document type from me	tadata.	



Field	Description	Sample Values
Document Description	Specify the document description.	
Remarks	Specify the remarks.	
Document Expiry Date	Select the document expiry date.	
Link Document	The link to link the existing uploaded documents from DMS to the workflow task.	

4. Select the document to be uploaded or linked and click the **Link Document** link. The link Document pop up appears.

The value selected in Document Type and Document code of Document screen are defaulted in the Link Document Search screen.

Link Document							
Customer Id * 032204 Document Type *	*			Document Id Document C		•	
Link Document	Document Id	Customer Id	Document Type	Document Code	Upload Date	Reference Number	
No data to display.							
Page 1 (0 of 0	items) K <	к < 1					
							Close

5. Click **Fetch** to retrieve the details from DMS. System Displays all the documents available for the given Document Type and Document Code for the Customer.

Field	Description	Sample Values
Customer ID	This field displays the transaction Customer ID.	
Document ID	Specify the document Id.	
Document Type	Select the document type from list.	
Document Code	Select the document code from list.	
Search Result		
Link Document	The link to link the existing uploaded documents from DMS to the workflow task.	
Document ID	This field displays the document Code from meta data.	
Customer ID	This field displays the transaction Customer ID.	
Document Type	This field displays the document type from meta data.	



Field	Description	Sample Values
Document Code	This field displays the document code from meta data.	
Upload Date	The field displays the upload date of the document.	
Reference Number	The field displays the reference number of the document.	

6. Click **Link** to link the particular document required for the current transaction.

ustomer Id *			Docu	ment Id		
32204						
ocument Type *				ocument Code *		
Documentary Colle	ction 🔻		Insu	surance Policy 🔹		
Fetch						
Link Document	Document Id	Customer Id	Document Type	Document Code	Upload Date	Reference Number
Link	1559	032204	HGJH	INSURANCE	Mar 9, 2023	032IDCB000017631
	2649	032204	testing	INSURANCE	Mar 29, 2023	032ILCC000021179
Link						
Link	4143	032204		INSURANCE	May 8, 2023	032ILCU000032029
	4143 4145	032204		INSURANCE	May 8, 2023 May 8, 2023	032ILCU000032029 032ILCU000032042

Post linking the document, the user can View, Edit and Download the document.



7. Click Edit icon to edit the documents. The Edit Document screen appears.

Document Id		Document Title		
2400		wqwq		
Application Refere	ence Number	Entity Reference Number		
PK2ILCI00001904	1	PK2ILCI000019041		
Document Type Id	I	Document Description		
TFPM_DOCTYPEC	001			
Remarks		Document Expiry Date		
		Jun 29, 2022	***	
	Drop files here or click to selec	Current selected files: []		
			Upda	te Canc

Scrutiny

On successful completion of Registration of a Lodge Claim Guarantee Issued request, the request moves to scrutiny stage. At this stage the gathered information during Registration stage and claim request are scrutinized. As part of scrutiny, the bank user can update the various claim fields. The user should also be able to input the transaction details.

Do the following steps to acquire a task currently at Scrutiny stage:

1. Using the entitled login credentials for scrutiny stage, login to the OBTFPM application.

루 FuTura Bank	
Sign In	
User Name *	
SRIDHAR	
Password *	
Sign In	



	Draft Confirmation F	Pending	o x	Hand-off Failure		o ×	Priority Details		Ø ×	
board										
itenance	Customer Name	Application Date	٩	Branch	Process Name	Stage Name	Branch	Process Name	Stage Name	
s •	EMR & CO	25-06-2018	G	Bank Futura	NA	Retry HandOf	Bank Futura	NA	Amount Blo	
e Finance 🔹 🕨	NA	25-06-2018	G				Bank Futura	NA	Amount Blo	
	NA	21-06-2018	G							
							004	NA	Loan Applic	
	Migh Malue Terrore									
	High Value Transacti	ions	Ø ×	SLA Breach Deta	ils	o ×	Priority Summa	ry Cucumber Te	* 🗘 ×	
	140K	ions	o ×	SLA Breach Deta	IIS SLA Breaches			- cocomor rem		
	140К 100К				SLA Breaches		Branch P	rocess Name	Stage Name	
	140K 100K 60K		• G8P	Customer Name	SLA Breacher 23474 H	(mins) Prior	Branch P	- cocomor rem		
	140К 100К 60К 20К			Customer Name	SLA Breacher 23474 H 26667 M	(mins) Prior KEERTIV01	Branch P	rocess Name	Stage Name	
	140K 100K 60K			Customer Name NA HSBC BANK	SLA Breacher 23474 H 26667 M 23495	Reference (Marcon (Marcon)) Price KEERTIV01 SHUBHAM	Branch P	rocess Name	Stage Name	
	140K 100K 60K 20K			Customer Name NA HSBC BANK WALL MART	SLA Breacher 23474 H 26667 M 23495	((mins) Prior KEERTIVO1 SHUBHAM SHUBHAM	Branch P	rocess Name	Stage Name	

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.

3. Click Trade Finance> Tasks> Free Tasks.

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Image: Section of the sectin of the section of the section								w Diagram	re 🗊 Assign 🗍 Flov	🗢 Acquir	C Refresh		em Search C
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ch Acquire & Edit M Guarantee Cancellation PK2GTEC000039450 Pk2GTEC000039450 DataEnrichment 20-11-11 PK2 001044	£4,435.00		001044	PK2	20-11-11	Handoff RetryTask	PK2ILCI000039430	PK2ILCI000039430	Import LC issuance	м	Acquire & Edit		isks
	£10.000.00		001044	PK2	20-11-11	DataEnrichment	PK2GTEC000039450	PK2GTEC000039450	Guarantee Cancellation	M	Acquire & Edit		h
entior tads	£76.355.00		001044	PK2	20-11-11	DataEnrichment	PK2GTEC000039449	PK2GTEC000039449	Guarantee Cancellation	М	Acquire & Edit		
Finance > Page 1 of 39 (1-20 of 761 litems) K < 1 2 3 4 5 39 > X							к	1 2 3 4 5 39	761 items) K < 1	(1 - 20 of	e 1 of 39	Pag	

4. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks**.

u Item Search 🔍	C Refresh	↔ Acquire	2 D Assign 🕴 Flow	Diagram						
Maintenance 🕨	 Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number	Amount
nboard						-				
ntenance ►		М		PK2GTEC000039486	PK2GTEC000039486	Scrutiny	20-11-11	PK2	001044	£2,000.0
itenance P	Acquire & Edit	М	Import LC Issuance	PK2ILCI000039466	PK2ILCI000039466	DataEnrichment	20-11-11	PK2	001044	£4,425.0
irity Management 🛛 🕨	Acquire & Edit	M	Import LC Issuance	PK2ILCI000039473	PK2ILCI000039473	Registration	20-11-11	PK2	001044	£5,500.0
· · · ·	Acquire & Edit		Guarantee Advise Amen	PK2GTAA000039471	PK2GTAA000039471	Registration	20-11-11	PK2	001044	£27,000.0
· · · · · ·	Acquire & Edit	М	ExportLC Amendment B	PK2ELCA000039469	PK2ELCA000039469	DataEnrichment	20-11-11	PK2	001044	£82,300.
aiting Customer Clarifi	Acquire & Edit	м	ExportLC Amendment B	PK2ELCA000039467	PK2ELCA000039467	DataEnrichment	20-11-11	PK2	001044	£82,300.
mpleted Tasks	Acquire & Edit		Guarantee Claim Lodging	PK2GTEC000039459	PK2GTEC000039459	DataEnrichment	20-11-11	PK2	001044	£2,000.
npreteo rasks	Acquire & Edit		Guarantee Claim Lodging	PK2GTEC000039464	PK2GTEC000039464	Approval Task Level 1	20-11-11	PK2		
e Tasks	Acquire & Edit		Import LC Issuance	PK2ILCI000039462	PK2ILCI000039462	Scrutiny	20-11-11	PK2	001044	£343,434.
rl Tasks	Acquire & Edit	М	Shipping Guarantee Iss	PK2SGTI000039036	PK2SGTI000039036	Approval Task Level 1	20-11-05	PK2		£10.
	Acquire & Edit	м	Gurantee Issuance Ame	PK2GTEI000039457	PK2GTEI000039457	DataEnrichment	20-11-11	PK2	000153	£14,000.
Tasks	Acquire & Edit	м	Import LC issuance	PK2ILCI000039430	PK2ILCI000039430	Handoff RetryTask	20-11-11	PK2	001044	£4.435.
rch	Acquire & Edit	м	Guarantee Cancellation	PK2GTEC000039450	PK2GTEC000039450	DataEnrichment	20-11-11	PK2	001044	£10.000.
ervisor Tasks	Acquire & Edit	м	Guarantee Cancellation	PK2GTEC000039449	PK2GTEC000039449	DataEnrichment	20-11-11	PK2	001044	£76,355.



5. The acquired task will be available in **My Tasks** tab. Click **Edit** to provide input for Scrutiny stage.

u Item Search 🔍		C Refr	esh 🗢	Release 🚦 👯 Flow Diagra	m						
e Maintenance 🕨 🕨	-	Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number	Amount
hboard		Edit	м	Lodge Claim - Guaran	PK2GTEC000039486	PK2GTEC000039486	Scrutiny	20-11-11	PK2	001044	£2.000.00
ntenance 🕨 🕨		Edit	_	Guarantee Claim Lodging	PK2GTEC000039455	PK2GTEC000039455	Registration	20-11-11	PK2	001044	£76.355.00
urity Management 🔹 🕨		Edit		Guarantee Claim Lodging	PK2GTEC000039428	PK2GTEC000039428	Scrutiny	20-11-11	PK2	001044	£2,000.00
		Edit		Guarantee Claim Lodging	PK2GTEC000039427	PK2GTEC000039427	Registration	20-11-11	PK2	001044	£1,000.00
		Edit		Guarantee Claim Lodging	PK2GTEC000039419	PK2GTEC000039419	Registration	20-11-11	PK2	001044	£76.355.00
waiting Customer Clarifi		Edit		Guarantee Claim Lodging	PK2GTEC000039418	PK2GTEC000039418	Registration	20-11-11	PK2	001044	£76,355.00
ompleted Tasks		Edit	M	Guarantee Advise	PK2GTEA000039414	PK2GTEA000039414	Scrutiny	20-11-11	PK2		£9,000.00
		Edit	М	Guarantee Issuance	PK2GTEI000039413	PK2GTEI000039413	Scrutiny	20-11-11	PK2	006217	£1,000.00
ee Tasks		Edit		Guarantee Claim Lodging	PK2GTEC000039399	PK2GTEC000039399	Scrutiny	20-11-10	PK2	001044	£76,355.00
old Tasks		Edit		Guarantee Claim Lodging	PK2GTEC000039398	PK2GTEC000039398	Registration	20-11-10	PK2	001044	£80,000.00
		Edit		Guarantee Claim Lodging	PK2GTEC000039397	PK2GTEC000039397	Registration	20-11-10	PK2	001044	£76,355.00
ty Tasks		Edit		Guarantee Claim Lodging	PK2GTEC000039396	PK2GTEC000039396	Registration	20-11-10	PK2	001044	£76,355.00
arch		Edit	М	Guarantee Amendment	PK2GTEA000039371	PK2GTEA000039371	DataEnrichment	20-11-10	PK2	001044	£2,000.00
Supervisor Tasks		Edit	M	Guarantee Advise Amen	PK2GTAA000039364	PK2GTAA000039364	DataEnrichment	20-11-10	PK2	001044	£2,000.00

The Scrutiny stage has sections as follows:

- Main Details
- Claim Details
- Document Details
- Additional Fields
- Advices
- Additional Details
- Settlement Details
- Summary

Let's look at the details for scrutiny stage. User can enter/update the following fields as part of claim under Guarantee/SBLC - Scrutiny Stage. Some of the fields that are already having value from registration/ online channels may not be editable.

In case of requests received through SWIFT MT765, the task will be created in Scrutiny stage directly and the fields will be populated based on the incoming request.

Main Details

Main details section has two sub section as follows:

- Application Details
- Guarantee Details



Application Details

All fields displayed under Application details section, would be read only except for the **Priority**. Refer to Application Details in the Registration stage for more information of the fields.

dge Claim - Guaran rutiny :: Applicatior	tee Issued No:- 032GTEC000167820		Clarification Details	Documents	Remarks	Overrides	Customer Instruction	Incoming Message	View Undertaking	,
Main	Main									Screen
Claim Details	Application Details - M	ain								
Document Details	Undertaking Number		Received From	n - Customer ID	*	1	Branch		Claim Serial Number	
	032GUIS23215CFKT		032204	Air Arabia			032-Oracle Banking Trade F	inan 💌	3	
Additional Fields	Process Reference Number		Priority				Submission Mode		Claim Received Date	
Additional Details	032GTEC000167820		Medium				Desk	-	Aug 3, 2023	
Summary	Claim Lodgement Date		Beneficiary Re	ference Number			User Reference Number			
	Aug 3, 2023	#					032GUIS23215CFKT			
	▲ Guarantee Details									
	Guarantee Type		30 Date of Iss	ue		01	Purpose of Message		23B Expiry Type	
	BILL		Aug 3, 2023		m		ISSU		COND - With Expiry	
	31E Date of Expiry		Claim Date				Claim Expiry Date		Outstanding Currency/	Amount *
	Aug 3, 2027	**	Aug 3, 2026		**		Aug 23, 2027	**	AED 👻	AED 1,300.00
	40C Applicable Rules		Applicant Ban	k			50 Applicant		59A Beneficiary	
	URDG - Uniform rules for dema	~					032204 Air Arabia	a 🚺	032205 Alda	r Properties 🚺
	Advising Bank		Advise Throug	gh Bank			Counter Guarantee Issuing B	lank	Local Guarantee Issuing	Bank
	Presenting Bank		Accountee							

In case of SWIFT MT 765, the system displays the following fields.

Field	Description	Sample Values
Undertaking Number	Read Only field.	
	In case of SWIFT MT 765,	
	System to populate the undertaking number from the incoming SWIFT MT 765, Tag 21 Related Reference.	
Customer ID/ Name	Read only field.	001345
	System defaults the Customer ID/ Name from the underlying Guarantee/ SBLC Issuance.	
Branch	Read only field.	203-Bank
	System defaults the branch code as applicable.	Futura -Branch FZ1
Claim Serial Number	Read only field.	
	System defaults the claim serial number from Guarantee/ SBLC Issuance. This should be the latest claim number available in back-end system +1.	
Process Reference	Read only field.	203GTEISS000
Number	Unique sequence number for the transaction.	001134
	This is auto generated by the system based on process name and branch code.	



Field	Description	Sample Values
Priority	Priority maintained will be populated as either 'Low or Medium or High'. If priority is not maintained for a customer, 'Medium' priority will be defaulted.	High
	The user can change the priority.	
Submission Mode	In case of SWIFT MT 765 system defaults the submission mode as 'SWIFT'.	
	The user can change the submission mode.	
Claim Received Date	By default, the application will display branch's current date.	04/13/2018
	Date on which claim is received. The user can change date.	
Claim Lodgement Date	By default, the application will display branch's current date. Read only field.	04/13/2018
	Note Future date and back date selection is not allowed.	
Beneficiary Reference	Read only field.	
Number	In case of SWIFT MT 765, System populates Tag 23 - Beneficiary Reference Number from the Incoming MT 765.	
User Reference Number	Read only field. System defaults the user reference number, depending on the selection of Undertaking Number	PK2GUI121144 0001

Guarantee Details

The fields listed under this section are same as the fields listed under the Guarantee Details section in Registration. Refer to Guarantee Details for more information of the fields. During registration, if user has not captured input, then user can capture the details in this section.

4	Guarantee Details							
Gu	uarantee Type		30 Date of Issue	Purpose of Message		23B Expiry Type		
BI	ILL		Aug 3, 2023	ISSU		COND - With Ex	piry	v -
- 31	E Date of Expiry		Claim Date	Claim Expiry Date		Outstanding Curr	rency/ Amount *	
A	ug 3, 2027		Aug 3, 2026	Aug 23, 2027		AED 💌	AED 1,300.0	0
40	C Applicable Rules		Applicant Bank	50 Applicant		59A Beneficiary		_
U	IRDG - Uniform rules for dema	w.		032204 Air Arabia	0	032205	Aldar Properties	Ð
Ad	dvising Bank		Advise Through Bank	Counter Guarantee Issuing Bank		Local Guarantee	Issuing Bank	_
Pre	esenting Bank		Accountee					
Audit				Request Clarification Reject	Refer Ho	old Cancel	Save & Close	Back Next

In case of SWIFT MT 765, the system displays the following fields.



Field	Description	Sample Values
Guarantee Type	Read only field.	ADVP
	System defaults the value from Guarantee/ SBLC Issuance.	
Date of Issue	Read only field.	04/13/18
	System defaults the value from Guarantee/ SBLC Issuance.	
Purpose of message	Read only field.	
	System defaults the purpose of message from Guarantee/ SBLC Issuance.	
Expiry Type	Read only field.	
	System defaults the expiry type as in Guarantee/ SBLC Issuance.	
Date Of Expiry	Read only field.	09/30/18
	System defaults the expiry date as in Guarantee/ SBLC Issuance.	
Claim Date	Read only field.	04/13/2018
	System defaults the claim date as in Guarantee/ SBLC Issuance.	
Claim Expiry Date	Read only field.	04/13/2018
	System defaults the claim expiry date as in Guarantee/ SBLC Issuance.	
Outstanding Currency/	Read only field.	
Amount	System defaults the outstanding currency and amount from Guarantee/ SBLC Issuance.	
Applicable Rules	Read only field.	URDG -
	System defaults the value from Guarantee/ SBLC Issuance.	Uniform rules for demand guarantees
Applicant Bank	Read only field.	001345 Nestle
	System defaults the applicant bank details from Guarantee/ SBLC Issuance.	
Applicant	Read only field.	001345 Nestle
	System defaults the applicant from Guarantee/ SBLC Issuance.	
Beneficiary	Read only field.	001345 Nestle
	System defaults the beneficiary as in Guarantee/ SBLC Issuance.	



Field	Description	Sample Values
Advising Bank	Read only field. System defaults the advising bank if available in issuance.	001343 - Bank Of America
Advising Through Bank	Read only field. System defaults the advising through bank if available in issuance.	
Counter Guarantee Issuing Bank	Read only field. System defaults the counter guarantee issuing through bank if available in issuance.	
Local Guarantee Issuing Bank	Read only field. System defaults the local guarantee issuing bank if available in issuance.	
Presenting Bank	Read only field. System defaults the presenting bank if available in issuance.	
Accountee	Read only field. System defaults the accountee name if available.	

Action Buttons

Use action buttons based on the description in the following table:

	i õ	
Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	
Documents	Click the Documents icon to View/Upload the required documents.	
	Application will display the mandatory and optional documents.	
	Note Not applicable for STP of SWIFT MT 765.	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view the overrides accepted by the user.	



Field	Description	Sample Values
Customer Instruction	Click to view/ input the following	
	 Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. 	
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 765.	
View Undertaking	Clicking this button allows the user to view the underlying Guarantee/SBLC from the back office system.	
Request Clarification	User should be able to specify the clarification details for requests received online.	
Reject	On click of Reject, user must select a reject reason from a list displayed by the system and the task may be terminated or moved to Reject Approval Stage. Reject Codes: R1- Documents missing R2- Signature Missing R3- Input Error R4- Insufficient Balance/Limits R5 - Others. Select a Reject code and give a reject description. This reject reason will be available in the remarks window throughout the process.	
Refer	On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: R1- Documents missing R2- Signature Missing R3- Input Error R4- Insufficient Balance/Limits R5 - Others.	



Field	Description	Sample Values
Hold	The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Cancel	Cancel the Lodge Claim Guarantee Issuance scrutiny stage inputs.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

Claim Details

\equiv ORACLE [®]								Oracle Banking Trade Finan lug 3, 2023			ARTAB01 gmail.com
Lodge Claim - Guarantee Scrutiny :: Application N		Clarification Details	Documents	Remarks	Overrides	Customer Instruction	Incoming Message	View Undertaking			,* ×
Main	Claim Details									Scree	n (2 / 6)
Claim Details	Claim Details										
Document Details	Claiming Bank Reference	31L Date of D	emand			48B Demand Indicator		22G Demand Ty	pe *		
Additional Fields		Aug 3, 2023		t			T			•	
Additional Details	Claim Currency/ Amount *	31E New Expi	ry Date			31R New Expiry Date-Loo	al Undertaking	Response Due D	Date		
Summary	AED 👻			#			1			1	
Junnary	49A Demand Statement		on Completion De	etails	_	78 Additional Amount In		56A Intermedia	у		
	۹ 🕑		C	۶ 🕑			۹ 🕑		Q		
	57A Account with Institution	72Z Sender to	Receiver Inform		_						
	۹ 🕒		C	۶ 🕑							
Audit						Request Clarification	Reject Refer	Hold Cancel	Save & Close	Back	Next

Provide the Claim details based on the description in the following table:

Field	Description	Sample Values
Claiming Bank Reference	The user can enter the claiming bank reference details, if the claimed is not received from Beneficiary.	
	User can enter the Transaction Reference number from MT 765. In case of STP of incoming MT 765, system to populate the details from incoming MT 765.	
	If the claim is received from the beneficiary, this field will not be editable.	



Field	Description	Sample Values
Date of Demand	User can enter the date on which the demand is issued by the beneficiary.	
	In case of STP of Incoming MT 765, the System to populate the value in tag 31L, Date of Demand from incoming MT 765.	
Demand Indicator	Read Only field.	
	System defaults value from Guarantee /SBLC Advise.	
Demand Type	This field specifies the type of demand.	
	The values are:	
	Extend or Settle	
	Settle	
	In case of STP of Incoming MT 765, the demand type is defaulted from the incoming MT 765 message.	
	In case of Non-Online, User can input the value as per claim.	
Claim Currency/ Amount	System defaults the currency for claim. User can enter the claim amount.	
New Expiry Date	Specify the new expiry date, if Demand Type field is ' Extend or Settle '.	
	This field is disabled if the Demand Type is 'Settle '.	
	In case of STP of Incoming MT 765, the new expiry date is defaulted from the incoming MT 765 message.	
	In case of Non-Online, User can input the value as per claim.	
	System validates that the New Expiry Date is not earlier than the Expiry Date or not earlier than Branch Date	
New Expiry Date-Local	Specify the new expiry date-Local Undertaking	
Undertaking	, if Demand Type field has the value as Extend or Settle . This field is disabled if the Demand Type is ' Settle '.	
Response Due Date	System defaults value from Guarantee /SBLC Issuance, if Demand Type field has the value as Settle . The user can change the value.	
	Specify the response due date, if Demand Type field has the value as Extend or Settle .	

Field	Description	Sample Values
Demand Statement	Specify the narrative text for demand statement.	
	This field specifies the narrative text that constitutes the demand.	
	The codes can be:	
	 COMP: Complete demand, no other documentation to accompany or follow this message. 	
	 INCP: Incomplete demand, supporting documentation to be presented separately. 	
	In case of STP of Incoming MT 765, this field is defaulted from the incoming MT 765 message. In case of Non-Online, User can input the value as per claim.	
Presentation Completion Details	The user can enter the presentation of completion details, if demand statement is provided. This field specifies information about the presentation documentation. If the presentation is incomplete, this must specify how the presentation will be completed	
	In case of STP of Incoming MT 765, this field is defaulted from the incoming MT 765 message.	
	In case of Non-Online, User can input the value as per claim.	
Additional Amount Information	The user can enter the details on additional amount in this field.	
	In case of STP of Incoming MT 765, this field is defaulted from the incoming MT 765 message.	
	In case of Non-Online, User can input the value as per claim	
Intermediary	The user can enter the Intermediary bank details. This field specifies the financial institution through which the amount claimed must pass to reach the account with institution.	
	In case of STP of Incoming MT 765, this field is defaulted from the incoming MT 765 message.	
	In case of Non-Online, User can input the value as per claim.	
Account with Institution	The user can enter the details of Account with Institution.	
	This field specifies the financial institution at which the amount claimed is to be settled.	
	In case of STP of Incoming MT 765, this field is defaulted from the incoming message.	
	In case of Non-Online, User can input the value as per claim	



Field	Description	Sample Values
Sender to Receiver Information	Specify the details of sender to receiver Information or click Search to search and select the Sender to Receiver Information from the look- up.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	
Documents	Click the Documents icon to View/Upload the required documents.	
	Application will display the mandatory and optional documents.	
	Note	
	Not applicable for STP of SWIFT MT 765.	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view the overrides accepted by the user.	
Customer Instruction	Click to view/ input the following	
	 Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. 	
	• Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.	
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 765.	
View Undertaking	Clicking this button allows the user to view the underlying Guarantee/SBLC from the back office system.	
Request Clarification	User should be able to specify the clarification details for requests received online.	



Field	Description	Sample Values
Reject	On click of Reject, user must select a reject reason from a list displayed by the system and the task may be terminated or moved to Reject Approval Stage.	
	Reject Codes:	
	 R1- Documents missing R2- Signature Missing R3- Input Error 	
	 R4- Insufficient Balance/Limits R5 - Others. 	
	Select a Reject code and give a reject description.	
	This reject reason will be available in the remarks window throughout the process.	
Refer	On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.	
	Refer Codes:	
	R1- Documents missing	
	R2- Signature Missing	
	R3- Input Error	
	R4- Insufficient Balance/LimitsR5 - Others.	
Hold	The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Cancel	Cancel the Lodge Claim Guarantee Issuance scrutiny stage inputs.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	
Back	On clicking the Back, system should move the task to the previous segment.	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

Document Details

In Document Details, the user can to view the Documents required for a claim and verify if the Claim Documents are submitted as per documents required. The user, can scrutinize the claim request and input data as required.

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Lodge Claim - Guarantee I Scrutiny :: Application No:		20	Clarification Details	Documents	Remarks	Overrides	Customer Instruction	Incoming Message	View Undertaking		,* ×
🕕 Main	Document Deta	ails									Screen (3 / 6)
Claim Details	Document E	Details									
Document Details											+
Additional Fields	Code	Document Descrip	tion		Сору		Original	Document R	eceived	Action	
Additional Details	No data to displa	ıy.									
Summary	Additional C	onditions									
	, la antion ar e										+
	FFT Code		FFT Desc	ription						Action	
	No data to displa	ıy.									
Audit							Request Clarification	Reject Refer	Hold Cancel	Save & Close	Back Next

Provide the Document details based on the description in the following table:

In case of STP of Incoming MT 765, values should be handled as done in Offline process for Guarantee Claim.

Field	Description	Sample Values
Code	User can enter the document code.	
Document Description	User can enter the description of the document if any.	
Сору	Copy of the document.	
Original	Original claim document.	
Documents Received	Enable this option if document is received.	
Action	Click Edit icon to edit the document details. Click Delete icon to delete the document details.	

Additional Conditions

The user can click + to add multiple FFT details.

FFT Code	FFT code is auto-populated, user can click plus + icon and click Search to search and select the FFT code.	
	User can add or delete the code by deleting the line on the grid.	



Field	Description	Sample Values
FFT Description	System defaults the FFT description based on the FFT code.	
Action	Click Edit icon to edit the FFT details. Click Delete icon to delete the FFT details.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	
Documents	Click the Documents icon to View/Upload the required documents.	
	Application will display the mandatory and optional documents.	
	Note Not applicable for STP of SWIFT MT 765.	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view the overrides accepted by the user.	
Customer Instruction	 Click to view/ input the following Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. 	
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 765.	
View Undertaking	Clicking this button allows the user to view the underlying Guarantee/SBLC from the back office system.	



Field	Description	Sample Values
Request Clarification	User should be able to specify the clarification details for requests received online.	
Reject	On click of Reject, user must select a reject reason from a list displayed by the system and the task may be terminated or moved to Reject Approval Stage.	
	Reject Codes:	
	R1- Documents missing	
	R2- Signature Missing	
	R3- Input Error	
	R4- Insufficient Balance/Limits	
	R5 - Others.	
	Select a Reject code and give a reject description.	
	This reject reason will be available in the remarks window throughout the process.	
Refer	On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.	
	Refer Codes:	
	R1- Documents missing	
	R2- Signature Missing	
	R3- Input Error	
	R4- Insufficient Balance/Limits	
	• R5 - Others.	
Hold	The details provided will be on hold.	
	This option is used, if there are any pending	
	information yet to be received from applicant.	
Cancel	Cancel the Lodge Claim Guarantee Issuancescrutiny stage inputs.	
Save & Close	Save the information provided and holds the task in you queue for working later.	
	This option will not submit the request	
Back	On clicking the Back, system should move the task to the previous segment.	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	



Additional Fields

This stage displays the additional fields based on the User defined fields maintained in the system.

In case of STP of Incoming MT 765, values should be handled as done in Offline process for Guarantee Claim.

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odge Claim - Guarante Scrutiny :: Application I	ee Issued No:- PK2GTEC000017473	Clarification Details	Documents	Remarks	Overrides	Customer Instruction	Incoming Message	View Undertaking		,* ×
Main	Additional Fields									Screen (4 / 6
Claim Details										
Document Details										
Additional Fields										
Additional Details										
Summary										
					_					
Audit						Request Clarification	Reject Refer	Hold Cancel	Save & Close	Back Next

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	
Documents	Click the Documents icon to View/Upload the required documents.	
	Application will display the mandatory and optional documents.	
	Note Not applicable for STP of SWIFT MT 765.	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view the overrides accepted by the user.	



Field	Description	Sample Values
Customer Instruction	 Click to view/ input the following Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. 	
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 765.	
View Undertaking	Clicking this button allows the user to view the underlying Guarantee/SBLC from the back office system.	
Request Clarification	User should be able to specify the clarification details for requests received online.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: R1- Documents missing R2- Signature Missing R3- Input Error R4- Insufficient Balance/Limits R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Refer	On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: R1- Documents missing R2- Signature Missing R3- Input Error R4- Insufficient Balance/Limits R5 - Others.	



Field	Description	Sample Values
Hold	The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Cancel	Cancel the Lodge Claim Guarantee Issuance inputs.	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

Additional Details

As a part of Additional details section, Guarantee /Standby claim may have impact on the Limits & Collaterals.

If any of the fields in the financial section of the pop up screen is checked then the limits and collaterals screen will be enabled.

In case of STP of Incoming MT 765, values should be handled as done in Offline process for Guarantee Claim.

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odge Claim - Guarant crutiny :: Application	ee Issued No:- 032GTEC000167820)	Clarification Details	Documents	Remarks	Overrides	Customer Instruction	Incomi	ng Message	View Und	ertaking			,* ×
Main	Additional Detai	ls											Scree	en (5 / 6
Claim Details	Charge Details		:											
Document Details	Charge	: GBP 50.00												
Additional Fields	Commission	:												
Additional Details	Tax Block Status	: : Not Initiated												
Summary														
						_								
Audit							Request Clarification	Reject	Refer	Hold	Cancel :	Save & Close	Back	Next

Limits & Collateral

On Approval, system should not release the Earmarking against each limit line and system should handoff the "Limit Earmark Reference Number "to the back office. On successful handoff, back office will make use of these "Limit Earmark Reference Number" to release the Limit Earmark done in the mid office (OBTFPM) and should Earmark the limit from the Back office.

In case multiple Lines are applicable, Limit Earmark Reference for all lines to be passed to the back office.



Limit & Collateral × ▲ Limit Details Customer ID Linkage Type Liability Number Line Id/Linkage Ref No Line Serial Contribution % Contribution Currency Amount to Earmark Limit Check Response Response Message View No data to display. Cash Collateral Details Collateral Percentage * Collateral Currency and amount Exchange Rate AED 👻 1.0 🗸 🔨 Sequence Number Settlement Account Currency Settlement Account Exchange Rate Collateral & Contribution Amount Ochribution Amount in Account Currency Account Balance Check Response No data to display. Limit Details × Linkage Type * Customer Id Q 032204 Facility Liability Number * Contribution % * 100.0 032204 Q Line Id/Linkage Ref No * **Contribution Currency** Q 032204AED AED Limit/Liability Currency Limits Description AED Limit Check Response Amount to Earmark * Available AED 110.00 **Expiry Date** Limit Available Amount AED 0.00 Response Message **ELCM Reference Number** Balance available of AED 99994260148. Verify Close

Provide the Limit Details based on the description in the following table:

Field	Description	Sample Values
Plus Icon +	Click plus icon to add new Limit Details.	
Edit	Click edit link to edit the limit details.	

Limit Details

Click View link to view the limit details.

Below fields are displayed on the Limit Details pop-up screen, if the user clicks View link.



Field	Description	Sample Values
Customer ID	Applicant's/Applicant Bank customer ID will get defaulted.	
Linkage Type	Select the linkage type. Linkage type can be: • Facility • Liability • By default Linkage Type is "Facility".	
Contribution%	System will default this to 100% and user can modify. System will display an alert message, if modified.	
	Once contribution % is provided, system will default the amount.	
	System to validate that if Limit Contribution% plus Collateral% is equal to 100. If the total percentage is not equal to 100 application will display an alert message.	
	The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified.	
Liability Number	Click Search to search and select the Liability Number from the look-up.	
Contribution Currency	The guarantee currency will be defaulted in this field.	
Line ID/Linkage Ref No	User can choose from the various lines available and mapped under the customer id gets listed in the drop down. LINE ID-DESCRIPTION will be available for selection along with Line ID. When you click on 'verify', the system will return value if the limit check was successful or Limit not Available. If limit check fails, the outstanding limit after the transaction value will be shown in the limit outstanding amount.	
Limit/ Liability Currency	Limit Currency will be defaulted in this field.	
Limits Description	This field will display the description of the limits.	



Field	Description	Sample Values
Limit Check Response	Response can be 'Success' or 'Limit not Available'.	
	This field displays the value, if you click Verify button.	
Amount to Earmark	Amount to earmark	
	will default based on the contribution %.	
	User can change the value.	
Expiry Date	This field displays the date up to which the Line is valid	
Limit Available Amount	This field will display the value of available limit, i.e., limit available without any earmark. The Limit Available Amount must be greater than the Contribution Amount.	
	This field displays the value, if you click Verify button.	
Response Message	Detailed Response message.	
	This field displays the value, if you click Verify button.	
ELCM Reference Number	This field displays the ELCM reference number.	
Below fields appear in the L	imit Details grid along with the above fields.	
Line Serial	Displays the serial of the various lines available and mapped under the customer id.	
	This field appears on the Limits grid.	
Edit	Click the link to edit the Limit Details	
Delete icon	Click delete icon to delete the existing limit details.	



Provide the collateral details based on the description provided in the following table:

Verify	✓ Save & Clo	
VS	The amount block can be per as the account has sufficient	formed balance
Response	Response Message	
AED 1.00	AED 1,9	984,452.45
Contribution Amount in Account Currency	Account Available Amount	
AED	1.0	
Settlement Account Currency	Exchange Rate	
AED 1.00	0912160013	Q
Collateral Contrubution Amount *	Settlement Account *	
1.0	10.0	~ ^
Sequence Number	Collateral Split % *	
AED 10.00		AED 10.00
Total Collateral Amount *	Collateral Amount to be Colle	cted *

Cash Collateral Details

Collateral Percentage	Specify the percentage of collateral to be linked to this transaction.	
Collateral Currency and amount	System populates the contract currency as collateral currency by default. User can modify the collateral Currency and amount.	
Exchange Rate	System populates the exchange rate maintained. User can modify the collateral Currency and amount. System validates for the Override Limit and the Stop limit if defaulted exchange rate is modified.	

Click + plus icon to add new collateral details.

Below fields are displayed on the Collateral Details pop-up screen, if the user clicks plus icon.

Total Collateral Amount	Read only field.	
	This field displays the total collateral amount provided by the user.	
Collateral Amount to be Collected	Read only field. This field displays the collateral amount yet to be collected as part of the collateral split.	



Field	Description	Sample Values
Sequence Number	Read only field. The sequence number is auto populated with the value, generated by the system.	
Collateral Split %	Specify the collateral split% to be collected against the selected settlement account.	
Collateral Contribution Amount	Collateral contribution amount will get defaulted in this field.	
	The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified.	
Settlement Account	Select the settlement account for the collateral.	
Settlement Account Currency	Select the Settlement Account Currency.	
Exchange Rate	Read only field.	
	This field displays the exchange rate, if the settlement account currency is different from the collateral currency.	
Contribution Amount in	Read only field.	
Account Currency	This field displays the contribution amount in the settlement account currency as defaulted by the system.	
Account Available Amount	Account Available Amount will be auto-populated based on the Settlement Account selection.	
Response	Response can be 'Success' or 'Amount not Available'.	
Response Message	Detailed Response message.	
Verify	Click to verify the account balance of the Settlement Account.	
Save & Close	Click to save and close the record.	
Cancel	Click to cancel the entry.	
Below fields appear in the C	cash Collateral Details grid along with the above fie	lds.
Collateral %	User must enter the percentage of collateral to be	

Collateral %	User must enter the percentage of collateral to be linked to this transaction. If the value is more than 100% system will display an alert message.	
Contribution Amount	Collateral contribution amount will get defaulted in this field.	



Field	Description	Sample Values
Account Balance Check Response	Response for account balance check is defaulted in this field.	
Delete Icon	Click minus icon to remove any existing Collateral Details.	
Edit Link	Click edit link to edit any existing Collateral Details.	

Charge Details

Click on **Default Charges** button to the default commission, charges and tax if any will get populated.

If default charges are available under the product, they should be defaulted here with values. If customer or customer group specific charges are maintained, then the same will be defaulted from back end system.

Charge Details										×
Recalculate Redefa	ault									
Commission Detail	s									
Event										
Event Description										
Component	Rate Modified Ra	te Currency	Amount	Modified	Defer	Waive	Charge	e Party	Settlement Account	
No data to display.										
Page 1 (0 of 0 items	s) K < 1 > >									
Charge Details										
Component	Tag currency Ta	g Amount Currenc	y Amount	Modified	Billing	Defer 1	Waive	Charge Party	Settlement Account	
No data to display.										
Page 1 (0 of 0 items	s) K < 1 > ×									
Tax Details										
Component	Туре	Value Date	Currency	Amou	nt	Billing	Defer	Settle	ement Account	
No data to display.										
									Save	& Close Close

Commission Details

Provide the Commission Details based on the description provided in the following table:

Field	Description	Sample Values
Event	Read only field. This field displays the event name.	
Event Description	Read only field. This field displays the description of the event.	
Component	Select the commission component	
Rate	Defaults from product. User can change the rate, if required.	



Field	Description	Sample Values
Modified Rate	User can enter a new amount in 'Modified amount' field. This will be the new charge for the modified component.	
Currency	Defaults the currency in which the commission needs to be collected	
Amount	An amount that is maintained under the product code defaults in this field. User can modify the value, if required.	
Modified	User can enter a new amount in 'Modified amount' field. This will be the new charge for the modified component.	
Defer	Select the check box, if charges/commissions has to be deferred and collected at any future step.	
Waive	Select the check box to waive charges/ commission.	
	Based on the customer maintenance, the charges/commission can be marked for Billing or Defer.	
Charge Party	Charge party will be 'Applicant' by Default. You can change the value to Beneficiary.	
Settlement Account	Details of the Settlement Account.	
Amendable	Displays if the field is amendable or not.	

Charge Details

Provide the Charge Details based on the description provided in the following table:

-		
Field	Description	Sample Values
Component	Charge Component type.	
Tag Currency	Defaults the tag currency in which the charges have to be collected.	
Tag Amount	Tag amount that is maintained under the product code.	
Currency	Defaults the currency in which the charges have to be collected.	
Amount	An amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Modified	User can enter a new amount in 'Modified amount' field. This will be the new charge for the modified component.	



Field	Description	Sample Values
Billing	If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.	
	On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is 'Billing' enabled, 'Billing' toggle for that component should be automatically checked in OBTFPM.	
	The user can not select/de-select the check box if it is de-selected by default.	
	This field is disabled, if 'Defer' toggle is enabled.	
Defer	If charges have to be deferred and collected at any future step, this check box has to be selected.	
	On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is AR-AP tracking enabled, 'Defer' toggle for that component should be automatically checked in OBTFPM.	
	The user can select/de-select the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.	
Waive	If charges have to be waived, this check box has to be selected.	
	Based on the customer maintenance, the charges should be marked for Billing or for Defer.	
	This field is disabled, if 'Defer' toggle is enabled.	
Charge Party	Charge party will be applicant by default. You can change the value to beneficiary	
Settlement Account	Details of the settlement account.	

Tax Details

The tax component defaults if maintained in the product level. Tax detail cannot be updated by you and any change in Tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system.

Following Tax Details will be displayed:

Field	Description	Sample Values
Component	Tax Component type.	
Туре	Type of tax Component.	
Value Date	This field displays the value date of tax component.	
Currency	The tax currency is the same as the commission.	



Field	Description	Sample Values
Amount	The tax amount defaults based on the percentage of commission maintained. User can edit the tax amount, if required.	
Billing	If taxes are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing. This field is disabled, if 'Defer' toggle is enabled.	
Defer	If taxes have to be deferred and collected at any future step, this option has to be enabled. The user can enable/disable the option the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.	
Settlement Account	Details of the settlement account.	

Action Buttons

Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	
Documents	Click the Documents icon to View/Upload the required documents.	
	Application will display the mandatory and optional documents.	
	Note Not applicable for STP of SWIFT MT 765.	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view the overrides accepted by the user.	



Field	Description	Sample Values
Customer Instruction	Click to view/ input the following	
	• Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.	
	• Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.	
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 765.	
View Undertaking	Clicking this button allows the user to view the underlying Guarantee/SBLC from the back office system.	
Request Clarification	User should be able to specify the clarification details for requests received online.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	
Cancel	Cancel the Scrutiny Stage Inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Reject	 On click of Reject, user must select a reject reason from a list displayed by the system. Reject Codes: R1- Documents missing R2- Signature Missing R3- Input Error R4- Insufficient Balance/Limits R5 - Others. Select a Reject code and give a reject description. This reject reason will be available in the remarks window throughout the process. 	
Back	On clicking the Back, system should move the task to the previous segment.	



	Field	Description	Sample Values
-	Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

Summary

User can review the summary screen for Guarantee /Standby Claim request.

Log in to Oracle Banking Trade Finance Process Management (OBTFPM) system, user can see the summary tiles. The tiles must display a list of important fields with values. The tiles where fields have been amended is highlighted in different color, User must be also able to drill down from summary tiles into respective data segments.

ORACLE					(ENTITY_I 1 Oracle Banking Trade Finan 1	ZARTAI subham@gmail.
odge Claim - Guarante crutiny :: Application I	ee Issued No:- 032GTEC000167820	Clarification Details Documents	Remarks Overrides	Customer Instruction	Incoming Message View Undertaking	×*
Main	Summary					Screen (6 ,
Claim Details	Main	Claim Details	Documer	nt Details	Additional Fields	
Document Details						
Additional Fields	Booking Date : 2023-08-03 Submission Mode : Desk	Demand Type : Settle New ExpiryDate :	Document Document		Click here to view : Additional fields	
Additional Details	Amount : AED 100	Intermediary :				
Summary						
	Commission, Charges and taxes	Party Details	Compliar	ice		
	Charge : GBP 50.00 Commission : Tax : Block Status : Not Initiated	Beneficiary : Aldar Applicant : Air Ar	Proper KYC abia Sanctions AML	: Not Initiate : Not Initiate : Not Initiate		
udit			Request Clari	ication Reject R	efer Hold Cancel Save & Close Ba	ck Next Subm

Tiles Displayed in Summary

- Main Details User can view the application details and Guarantee/ Standby details. User can modify the details if required.
- Claim Details User can view the claim details.
- Documents Details- User can view the Document details.
- Additional Fields User can view the additional fields.
- Commission, tax and Charges User can view the details provided for charges. User can modify the details if required.
- Party Details User can view the party details like beneficiary, advising bank etc.
- Compliance User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.



Action Buttons

Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	
Documents	Click the Documents icon to View/Upload the required documents.	
	Application will display the mandatory and optional documents.	
	Note Not applicable for STP of SWIFT MT 765.	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view the overrides accepted by the user.	
Customer Instruction	Click to view/ input the following	
	 Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. 	
	• Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.	
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 765.	
View Undertaking	Clicking this button allows the user to view the underlying Guarantee/SBLC from the back office system.	
Request Clarification	User should be able to specify the clarification details for requests received online.	
Submit	Task will get moved to next logical stage of Guarantee Claim.	
	If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	



Field	Description	Sample Values
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system.	
	Reject Codes:	
	 R1- Documents missing 	
	R2- Signature Missing	
	R3- Input Error	
	 R4- Insufficient Balance/Limits 	
	• R5 - Others.	
	Select a Reject code and give a Reject Description.	
	This reject reason will be available in the remarks window throughout the process.	
Refer	On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.	
	Refer Codes:	
	 R1- Documents missing 	
	R2- Signature Missing	
	R3- Input Error	
	 R4- Insufficient Balance/Limits 	
	• R5 - Others.	
Hold	The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Cancel	Cancel the Lodge Claim Guarantee Issuance Scrutiny inputs.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	
Back	On clicking the Back, system should move the task to the previous segment.	

Data Enrichment

As part of Data Enrichment, user can enter/update the various fields of the claim request. The user can also input the transaction details.

In case of requests received through SWIFT MT765, the task will be created in DE stage directly and the fields will be populated based on the incoming request.



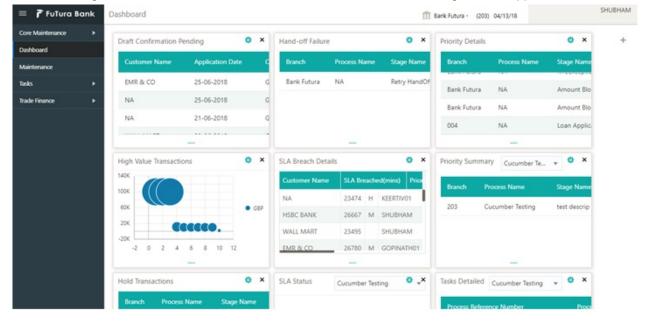


For expired line of limits, the task moves to "Limit Exception" stage under Free Tasks, on 'Submit' of DE Stage with the reason for exception as "Limit Expired".

Do the following steps to acquire a task which completed the Registration and Scrutiny and currently at Data enrichment stage:

1. Using the entitled login credentials for scrutiny stage, login to the OBTFPM application.

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.





3. Click Trade Finance> Tasks> Free Tasks.

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4. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks**.

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ervisor Tasks		Acquire & Edit	м	Guarantee Cancellation	PK2GTEC000039449	PK2GTEC000039449	DataEnrichment	20-11-11	PK2	001044	£76,355.0

5. The acquired task will be available in My Tasks tab. Click Edit to scrutinize the registered task.

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ree Tasks		Edit		Guarantee Claim Lodging	PK2GTEC000039399	PK2GTEC000039399	Scrutiny	20-11-10	PK2	001044	£76,355.00
Hold Tasks		Edit		Guarantee Claim Lodging	PK2GTEC000039398	PK2GTEC000039398	Registration	20-11-10	PK2	001044	£80,000.00
		Edit		Guarantee Claim Lodging	PK2GTEC000039397	PK2GTEC000039397	Registration	20-11-10	PK2	001044	£76,355.00
My Tasks		Edit		Guarantee Claim Lodging	PK2GTEC000039396	PK2GTEC000039396	Registration	20-11-10	PK2	001044	£76,355.00
Search		Edit	М	Guarantee Amendment	PK2GTEA000039371	PK2GTEA000039371	DataEnrichment	20-11-10	PK2	001044	£2,000.00
Supervisor Tasks		Edit	М	Guarantee Advise Amen	PK2GTAA000039364	PK2GTAA000039364	DataEnrichment	20-11-10	PK2	001044	£2,000.00

The Data Enrichment stage has sections as follows:

- Main Details
- Claim Details
- Document Details
- Additional Fields



- Advices
- Additional Details
- Settlement Details
- Summary

Main Details

Refer to Main Details.

Claim Details

Refer to Claim Details.

Document Details

As a part of Data Enrichment the user can capture the documents under acclaim and user must be able to input the details if required.

System defaults the document details ilf documents to be submitted were provided in the Guarantee Issuance, else the user can capture the documents submitted under the claim.

Refer to Document Details

Additional Fields

This stage displays the additional fields based on the User defined fields maintained in the system.

= ORACLE	Free Tasks	(DEFAULTENTITY) 1 (PK2) JEEVA02 May 6, 2019 subham@gmal.com
Lodge Claim - Guarante	e Issued - DataEnrichment :: Application No: PK2GTEC000057510	III III III III III III III III III II
Main	Additional Fields	Screen (4 / 8)
Claim Details		
Document Details		
Additional Fields		
Advices		
Additional Details		
Settlement Details		
Summary		
Audit		Reject Refer Hold Cancel Save & Close Back Next
Audit		Reject Refer Horo Cancer Save & Crose Back Next

Refer to Additional Fields.



Advices

This section defaults the advices maintained for the product based on the advices maintained at the Product level. In case of STP of Incoming MT 765, values should be handled as done in Offline process for Guarantee Claim.

	ORACLE	Free Tasks	(PK2) Mar 22, 2019	subhar
Claim Details Advice: GUA_CLAIM_ADV Advice faits Advice faity: APP Advice Tables Suppress: INP Settement Details Advice	arantee Claim Lodgi	ng - DataEnrichment :: Application No: PK2GTEC000	2 🔢 🕅 🕅) 💭 Overrides 🛛 🕅 Incoming Message
Document Details Addrice : GUA_CLAIM_ADV Addronel Fields Settlement Details	Main	Advices		Scre
Additional Fields Advice Name : GUA, CLAIM, ADV Advice Name : GUA, CLAIM, ADV Advice Name : GOACAR PLC Party Name : GOACAR PLC Suppress : NO Settlement Details	Claim Details			
Advice Name : GUA (LAM, ADV Advice Name : GAO (LAM, ADV Additional Details Suppress : GOO CLARE PLC Suppress : GOO CLARE	Document Details	Advice : GUA_CLAIM_ADV		
Additional Details Suppress : NO Settlement Details Advice				
Settlement Details		Party Name : GOODCARE PLC		
Sumay				
	Summary			
		1		
		1		
xit Priet Refer Hold Cancel Save & Close Back	_			

The user can also suppress the Advice, if required.

Advice Details				×
 Advice Details 				
Suppress Advice A	dvice Name	Medium	Advice	Party
	GUA_ACK_ADVICE	SWIFT	▼ APP	
Party ID Pa	arty Name			
001044				
⊿ FFT Code				•
FFT Code	FFT Description			Action
23RREJTRESP				
Instructions				+
Instruction Code	Instruction Desc	ription	Edit	Action
INSTRUCTIONS	INSTRUCTION		F 0	
				OK Cancel



Field	Description	Sample Values
Suppress Advice	Toggle on : Switch on the toggle if advice is suppressed.	
	Toggle off : Switch off the toggle if suppress advice is not required for the amendments	
Advice Name	Read only field.	
	Displays the advise name.	
Medium	The medium of advices is defaulted from the system.	
	User can update if required.	
Advice Party	Read only field.	
	Value be defaulted from Guarantee /SBLC advise.	
Party ID	Read only field.	
	Value be defaulted from Guarantee /SBLC advise.	
Party Name	Read only field.	
	Value be defaulted from Guarantee /SBLC advise.	
Free Format Text		
	Click plus icon to add new FFT code.	
+		
FTT Code	User can select the FFT code as a part of free text.	
FFT Description	FFT description is populated based on the FFT code selected.	
	Click edit icon to edit any existing FET code	

FFT Description	FFT description is populated based on the FFT code selected.	
B	Click edit icon to edit any existing FFT code.	
Action	Click Edit icon to edit the FFT details.	
	Click Delete icon to delete the FFT details.	

Instruction Details

+	Click plus icon to add new instruction code.	
Instruction Code	User can select the instruction code as a part of free text.	



Field	Description	Sample Values
Instruction Description	Instruction description is populated based on the Instruction code selected.	
	Click edit icon to edit any existing Instruction code.	
Action	Click Edit icon to edit the instruction details. Click Delete icon to delete the instruction details.	

Action Buttons

Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	
Documents	Click the Documents icon to View/Upload the required documents. Application will display the mandatory and	
	optional documents.	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view the overrides accepted by the user.	
Customer Instruction	 Click to view/ input the following Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. 	
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 765.	



Field	Description	Sample Values
View Undertaking	Clicking this button allows the user to view the underlying Guarantee/SBLC from the back office system.	
Request Clarification	User should be able to specify the clarification details for requests received online.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system.	
	Reject Codes:	
	 R1- Documents missing 	
	R2- Signature Missing	
	R3- Input Error	
	R4- Insufficient Balance/LimitsR5 - Others.	
	Select a Reject code and give a Reject Description.	
	This reject reason will be available in the remarks window throughout the process.	
Refer	On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.	
	Refer Codes:	
	 R1- Documents missing 	
	R2- Signature Missing	
	R3- Input Error	
	R4- Insufficient Balance/Limits	
	 R5 - Others. 	
Hold	The details provided will be on hold.	
	This option is used, if there are any pending information yet to be received from applicant.	
Cancel	Cancel the Guarantee Advice Data Enrichment inputs.	
Back	On clicking the Back, system should move the task to the previous segment.	
Next	Task will get moved to next logical stage of Guarantee Amendment Advise.	
	If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	



Additional Details

							0.11					
odge Claim - Guaran DataEnrichment :: App	ee Issued blication No:- 032GTEC00	0167820		larification Details	Documents	Remarks	Overrides	Customer Instruction	Incoming Message	View Undertaking		*
D Main	Additional Detai	s									Scr	een (6 /
Claim Details	Tracer Details		:	Charge Details	5	:	Preview N	Nessage	:			
Document Details	Tracer Code			Charge	: GBP 50.		Language	:				
Additional Fields	Required	:		Commission	:		Preview Me					
Advices	Frequency	-		Block Status	: : Not Initi	ated						
Additional Details												
Settlement Details												
Summary												

Limits & Collateral

Refer to Limits & Collateral.

Charge Details

Refer to Charge Details

Tracer Details

The bank users can capture these tracer details for Claim Lodgment in Guarantee and should send the tracers to the customer till its Settled / Extended / Rejected / Injunction.

acer Details											
Tracer Details											
Tracer Code	Description	Party Type	Required	Maximum Tracers	Number Sent	Start Days	Last Sent On	Medium	Frequency	Template Id	Action
GUA_CLM_TRAC		COLLECTING E		10	11	3	**	SWIFT 🔻	3		
Page 1 of 1 (1	of 1 items) K	< 1 > ×									

Field	Description	Sample Values
Tracer Code	Read only field.	
	Tracer code is defaulted by the system maintained in the product level.	
Description	Read only field.	
	Description of the racer code is auto populated.	
Party Type	Specify the party type or click 'Search' to search and select the party type from the lookup.	
Required	Enable this option, if respective tracer is required.	



Save & Close Close

Field	Description	Sample Values
Maximum Tracers	Specify the value for maximum number of tracers to be sent.	
	Maximum allowed is 99 exceeding the same system should prompt an error message for the same "Maximum number of numerals allowed is: 2" and should clear the field to enter the correct value by the user.	
	Maximum Tracers cannot be less than the "Number Sent", system needs to validate the same.	
Number Sent	Number Sent is defaulted by the System with the value, where the number of tracers sent so far. And it cannot be greater than the "Maximum Tracers".	
Start Days	Specify the number of days after which the tracer has to be sent from the Tracer Start date. It should be positive numeric value.	
Last Sent On	Read only field.	
	Tracer last sent date is defaulted by the system.	
Medium	Select the medium in which the Tracer has to be generated. It lists all the possible mediums maintained in the system.	
	The options are:	
	• SWIFT MAIL	
Frequency	Specify the medium in which the Tracer has to be generated. It should be positive numeric value.	
Template ID	Specify the template ID or click 'Search' to search and select the template ID in which the tracer has to be generated from the lookup.	
	It is a lookup which lists all the possible templates maintained in the system.	
	Template ID is nothing but the data that goes in Tag 79 in MT799.	
	This template ID is applicable only for medium 'SWIFT'	
	Template lookup displays all the template ids applicable for the given Tracer Code.	
Action	Click the Edit icon to edit the tracer details.	

Preview Message

Based on details captured in the previous screen, the preview message simulated from the back office and the user can view the message.

Preview Message								×
▲ Preview - SWIFT Me Language English	ssage	Message Type 765	Ŧ	▲ Preview - M Language English	ail Advice	Advice Type DEBIT_ADVICE	Ŧ	
Message Status		Repair Reason		Message Status		Repair Reason		
GENERATED				GENERATED				
Preview Message				Preview Message				
Original Received from / Priority/Delivery Swift Input Sender Swift address Receiver Swift address	Application - Outgoing : Urgent : FIN 765 Guarantee/ : AAEMNL21XXX ANTHOS ASSET MANAC JACHTHAVENWEG 111 1008 AB AMSTERDAM AAEMNL21XXX	Draft Standby Letter of Cre SEMENT B.V.	dit Demand	DEBIT ADVICE/T DATE: BRANCH ID: BRANCH ID: BRANCH IADNE: BANK TRN: TRANS TIME: Air Arabia Air Arabia gopinath.subra	₩ INVOICE 03-AUG-23 100282764800003 manian@oracle.com;CC;shah	PAGE : 1 nul.ha.hameed@oracle.com		
	: 3032335946928317 Message Text ence Number			03-AUG-23	Debit Advic			
							Save & Close	Close

Field	Description	Sample Values
Preview SWIFT Message	l	<u> </u>
Language	Read only field.	
	English is set as default language for the preview	
Message Type	Select the message type.	
Message Status	Read only field.	
	Display the message status of draft message of guarantee details.	
Repair Reason	Read only field.	
	Display the message repair reason of draft message of guarantee details.	
Preview Message	Display a preview of the draft message.	
Preview Mail Device		
Language	Read only field.	
	English is set as default language for the preview	
Advice Type	Select the advice type.	
Message Status	Read only field.	
	Display the message status of advice message of guarantee details.	



Field	Description	Sample Values
Repair Reason	Read only field. Display the message repair reason of advice message of guarantee details.	
Preview Message	Display a preview of the advice.	

Action Buttons

Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	
Documents	Click the Documents icon to View/Upload the required documents.	
	Application will display the mandatory and optional documents.	
	Note Not applicable for STP of SWIFT MT 765.	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view the overrides accepted by the user.	
Customer Instruction	Click to view/ input the following	
	• Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.	
	• Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.	
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 765.	
View Undertaking	Clicking this button allows the user to view the underlying Guarantee/SBLC from the back office system.	
Request Clarification	User should be able to specify the clarification details for requests received online.	



Field	Description	Sample Values
Refer	On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.	
	Refer Codes:	
	 R1- Documents missing 	
	R2- Signature Missing	
	R3- Input Error	
	R4- Insufficient Balance/Limits	
	• R5 - Others.	
Hold	The details provided will be on hold.	
	This option is used, if there are any pending information yet to be received from applicant.	
Cancel	Cancel the Lodge Claim Guarantee Issuance Data Enrichment inputs.	
Back	On clicking the Back, system should move the task to the previous segment.	
Next	Task will get moved to next logical stage of Lodge Claim Guarantee Issuance.	
	If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	



Settlement Details

Provide the settlement details based on the description in the following table:

ORACLE dge Claim - Guarant ataEnrichment - Ann			Clarification Details	Documents Remar	ks Override	Customer Instruction	Incoming Message	View Undertaking	subham@gr
Main	Settlement Details								Screen
Claim Details	Current Event								
Document Details									
Additional Fields	Settlement Details								
Advices	Component	Currency	Debit/Credit	Account	Account Des	cription	Account Currency	Netting Indicator	Current Event
Additional Details	AGUIR_COM1_LIQD	GBP	Debit	0322040001	Air Arabia		AED	No	No
Settlement Details	AGUIR_COMM_LIQD	AED	Debit	0322040001	Air Arabia		AED	No	No
Summary	AGUIS_COM1_LIQD	GBP	Debit	0322040001	Air Arabia		AED	No	No
,	AGUIS COMM LIQD	GBP	Debit	0322040001	Air Arabia		AED	No	No
	AVL_SET_LCAMT	AED	Debit	0322040001	Air Arabia		AED	Ne	No
	AVL_SET_LCAMTEQ	AED	Credit	0322040001	Air Arabia		AED	Ne	No
					Air Arabia				
	CLAIM_CUST_AMT	AED	Debit	0322040001			AED	No	No
	CLAIM_SETTLE_AMT	AED	Credit	0322050002	Aldar Prop	erties	AED	No	No
	COLLAMT_OS	AED	Debit	0322040001	Air Arabia		AED	No	No
	COLLAMT_OSEQ	AED	Credit	0322040001	Air Arabia		AED	No	No
	AGUIR_COMM_LIC	QD - Party Det	ails						
	Transfer Type		Charge Details			Netting Indicator		Ordering Customer	
	None	Ψ.	Remitter All Cha	arges	*		*	Q. Name/.	Account 💽
	Ordering Institution		Senders Corresp			Receivers Correspondent		Intermediary Institution	
	Account With Institution	ccount 💽		Name/Account		Q Name//	Account	Q. Name/	
	Account with Institution	ccount	Beneficiary Instit			Ultimate Beneficiary	Account	Intermediary Reimbursement Institution	
	Beceiver								
	032204	Q							
	Payment Details								
	Sender To Receiver 1		Sender To Receiv	ver 2		Sender To Receiver 3		Sender To Receiver 4	
	Only /8X/XXX format is allo	owed		X format is allowed		/8X/XXX or //XXX format	is allowed	/8X/XXX or //XXX format	is allowed
	Sender To Receiver 5		Sender To Receiv	er 6					
	/8X/XXX or //XXX format is	allowed	/8X/XXX or //XX	X format is allowed					
	Remittance Informat	ion							
	Payment Detail 1		Payment Detail 2			Payment Detail 3		Payment Detail 4	

Field	Description	Sample Values
Current Event	The user can select the check box to populate the settlement details of the current event associated with the task. On De-selecting the check box, the system list all the accounts under the settlement details irrespective of the current event.	
Component	Components gets defaulted based on the product selected.	
Currency	Application displays the default currency for the component.	
Debit/Credit	Application displays the debit/credit indicators for the components.	
Account	Application Displays the account details for the components.	
Account Description	Application displays the description of the selected account.	
Account Currency	Application defaults the currency for all the items based on the account number.	
Netting Indicator	Application displays the applicable netting indicator.	
Current Event	System displays the current event as Y or N.	

On click of any component in the grid, the application displays Party Details, Payment Details and Remittance Information.



Party Details

Provide the party details based on the description in the following table:

Field	Description	Sample Values
Transfer Type	 Select the transfer type from the drop list: Customer Transfer Bank Transfer for own account Direct Debit Advice Managers Check Customer Transfer with Cover Bank Transfer None 	
Charge Details	 Select the charge details for the transactions: Beneficiary All Charges Remitter Our Charges Remitter All Charges 	
Netting Indicator	Select the netting indicator for the component: • Yes • No	
Ordering Customer	Select the ordering customer from the LOV.	
Ordering Institution	Select the ordering institution from the LOV.	
Senders Correspondent	Select the senders correspondent from the LOV.	
Receivers Correspondent	Select the receivers correspondent from the LOV.	
Intermediary Institution	Select the intermediary institution from the LOV.	
Account with Institution	Select the account with institution from the LOV.	
Beneficiary Institution	Select the beneficiary institution from the LOV.	
Ultimate Beneficiary	Select the ultimate beneficiary from the LOV.	
Intermediary Reimbursement Institution	Select the intermediary reimbursement institution from the LOV.	
Receiver	Click Search to search and select the receiver.	

Payment Details

Provide the Payment Details based on the description in the following table:

Field	Description	Sample Values
Sender to Receiver 1	Provide the sender to receiver message.	
Sender to Receiver 2	Provide the sender to receiver message.	
Sender to Receiver 3	Provide the sender to receiver message.	



Field	Description	Sample Values
Sender to Receiver 4	Provide the sender to receiver message.	
Sender to Receiver 5	Provide the sender to receiver message.	
Sender to Receiver 6	Provide the sender to receiver message.	

Remittance Information

Provide the Payment Details based on the description in the following table:

Field	Description	Sample Values
Payment Detail 1	Provide the payment details.	
Payment Detail 2	Provide the payment details.	
Payment Detail 3	Provide the payment details.	
Payment Detail 4	Provide the payment details.	

Action Buttons

	i õ	
Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	
Documents	Click the Documents icon to View/Upload the required documents.	
	Application will display the mandatory and optional documents.	
	Note Not applicable for STP of SWIFT MT 765.	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view the overrides accepted by the user.	



Field	Description	Sample Values
Customer Instruction	Click to view/ input the following	
	 Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. 	
	 Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. 	
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 765.	
View Undertaking	Clicking this button allows the user to view the underlying Guarantee/SBLC from the back office system.	
Request Clarification	User should be able to specify the clarification details for requests received online.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system.	
	Reject Codes:	
	 R1- Documents missing 	
	R2- Signature Missing	
	R3- Input Error	
	R4- Insufficient Balance/Limits	
	• R5 - Others.	
	Select a Reject code and give a Reject Description.	
	This reject reason will be available in the remarks window throughout the process.	
Refer	On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes:	
	R1- Documents missing	
	 R2- Signature Missing 	
	R3- Input Error	
	 R4- Insufficient Balance/Limits R5 - Others. 	
Hold	The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant.	



Field	Description	Sample Values
Cancel	Cancel the Lodge Claim Guarantee Issuance Data Enrichment inputs.	
Back	On clicking the Back, system should move the task to the previous segment.	
Next	Task will get moved to next logical stage of Guarantee Amendment Advise.	
	If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	

Summary

User can review the summary of details updated in Data Enrichment stage for claim logged under Guarantee / SBLC Issued request.

Log in to Oracle Banking Trade Finance Process Management (OBTFPM) system to see the Summary tiles. The tiles must display a list of important fields with values.

ORACLE							m entity_id1 (entity	CI Oracle Banking Aug 3, 2023	irade Finan	subham@gmail.
ge Claim - Guarante aEnrichment :: Appli				Clarification Details	Documents Remarks	Overrides Custo	omer Instruction Incomi	ng Message View Une	lertaking	
Main	Su	ummary								Screen (8 ,
Claim Details	11	Main		Claim Details		Document Detai	ls	Additional Fields		
Document Details		Booking Date	: 2023-08-03	0 17	: Settle	Document 1				
Additional Fields		Submission Mode	: 2023-08-03 : Desk	Demand Type New ExpiryDate	: Settle	Document 1 Document 2	:	Click here to view Additional fields	:	
dvices		Amount	: AED 100	Intermediary	:					
dditional Details										
ettlement Details										
ummary										
		Advices		Commission, C	harges and taxes	Preview Messag	es	Settlement Detail	5	
		Advice 1	: GUA_CLAIM_AD	Charge	: GBP 50.00	Language	: ENG	Component	: LCGCLM_LIQD	
		Advice 2	: PAYMENT_MESS	Commission	:	Preview Message	: -	Account Number	: 0322040001	
				Tax Block Status	: : Not Initiated			Currency	: GBP	
	11	Party Details		Compliance		Accounting Deta	ails	Tracer Details		
	11									
		Beneficiary Applicant	: Aldar Proper : Air Arabia	KYC Sanctions	: Not Initiate : Not Initiate	Event AccountNumber	: GCLM : 0322040001	Tracer Code Required	:	
				AML	: Not Initiate	Branch	: 032	Medium		
								Frequency	:	

Tiles Displayed in Summary

- Main Details User can view the application details and Guarantee/ Standby details. User can modify the details if required.
- Claim Details User can view the claim details.
- Documents Details- User can view the Document details.
- Additional Fields User can view the additional fields.
- Advices User can view the advices details.
- Commission, tax and Charges User can view the details provided for charges. User can modify the details if required.



- Preview Messages User can drill down to view the message preview, legal verification and customer draft confirmation details. The message preview screen has the Legal Verification details.
- Settlement Details User can view the settlement details.
- Party Details User can view the party details like beneficiary, advising bank etc.
- Compliance User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.
- Accounting Details User can view the accounting entries.



When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message "Value Date is different from Transaction Date for one or more Accounting entries.

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• Tracer Details - User can view the tracer details.

Action Buttons

Use action buttons based on the description in the following table:

i.

Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	
Documents	Click the Documents icon to View/Upload the required documents.	
	Application will display the mandatory and optional documents.	
	Note Not applicable for STP of SWIFT MT 765.	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view the overrides accepted by the user.	
Customer Instruction	Click to view/ input the following	
	 Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. 	
	• Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.	



Field	Description	Sample Values
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 765.	
View Undertaking	Clicking this button allows the user to view the underlying Guarantee/SBLC from the back office system.	
Request Clarification	User should be able to specify the clarification details for requests received online.	
Reject	 On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: R1- Documents missing R2- Signature Missing R3- Input Error R4- Insufficient Balance/Limits R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks 	
Refer	window throughout the process. On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.	
	 Refer Codes: R1- Documents missing R2- Signature Missing R3- Input Error R4- Insufficient Balance/Limits R5 - Others. 	
Hold	The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Cancel	Cancel the Lodge Claim Guarantee Issuance Data Enrichment inputs.	
Next	Task will get moved to next logical stage of LodgeClaim Guarantee Issuance.If mandatory fields have not been captured,system will display an error message until themandatory fields data are provided.	
Back	On clicking the Back, system should move the task to the previous segment.	



Field	Description	Sample Values
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	

Multi Level Approval

This stage allows the approver user to approve a Claim Lodged under Guarantee Issued Transaction.

Log in into OBTFPM application and open the task to see the summary tiles. The tiles should display a list of important fields with values. User must be able to drill down from summary Tiles into respective data segments to verify the details of all fields under the data segment.

In case of MT 765, Approval stage processing is same as in Offline Processing for Guarantee Claim.



The user can simulate/recalculate charge details and during calling the handoff, if handoff is failed with error the OBTFM displays the Handoff failure error during the Approval of the task.

Re-Key Authorization

The application will request approver for few critical field values as an authorization step. If the values captured match with the values available in the screen, system will allow user to open the transaction screens for further verification. If the re-key values are different from the values captured, then application will display an error message.

Open the task and re-key some of the critical field values from the request in the Re-key screen. Some of the fields below will dynamically be available for re-key.:

- •
- Claim Amount
- Currency

Re-key is applicable to the first approver in case of multiple approvers. All approvers will however be able see the summary tiles and the details in the screen by drill down from tiles.

= ORACL	e,	My Tasks		_			. (DEFAULTENTIT	Y) Oracle Banking Tr. Jun 13, 2021	ade Finan	ZARTAB01 subham@gmail.com
Menu Item Search	0	C Refres	h -O- Release	Approval Rekey						
Core Maintenance		Ed		II View Signature	ents 🗖 Remarks	and an all much	Chan	Annelling Back	Derech	
Dashboard		Edit		L.		cation Numb TEC000017473	Stage Approval Task Level 1	Application Date	Branch PK2	Customer Num 001044
Devops - Cockpit		Edit	Medium	Claim Amount		EC000017466	Registration	21-06-13	PK2	001044
Machine Learning		Edit	Medium	GBP • £100. Currency	00	TEI000017462	Registration	21-06-13	PK2	001044
Rule		Edit	Medium		• Ø	TEI000017461	Registration	21-06-13	PK2	001044
Security Management		Edit	Medium	c		TEI000017439	Approval Task Level 1	21-06-13	PK2	001044
Task Management		Edit	Medium	c		TEI000017437	Registration	21-06-13	PK2	001044
Tasks		Edit	Medium	¢		TEI000017436	DataEnrichment	21-06-13	PK2	001044
Awaiting Customer Clarification		Edit	Medium	c		TEI000017435	DataEnrichment	21-06-13	PK2	001044
Business Process Maintenance		Edit	Medium	¢		TEA000017356	Approval Task Level 1	21-06-13	PK2	001044
Completed Tasks		Edit	High			TB000017357	KYC Exceptional approval	21-06-13	РК2	001204
Free Tasks				Refer	Close Proceed					



Summary

dge Claim - Guarantee Issued proval Task Level 1 :: Application N	Documents Do:- PK2GTEC000017473	Remarks Overrides Customer Instruction	Incoming Message View Undertaking	,
Main	Claim Details	Document Details	Additional Fields	Advices
Booking Date :2021-06-13 Submission Mode :Desk Amount :GBP 100	Demand Type : S New ExpiryDate : Intermediary :	Document 1 : Document 2 :	Click here to view : Additional fields	Advice 1 : GUA, ACK, ADVI Advice 2 : GUA, CLAIM, AD Advice 3 : TRADE, ENVELO
Limits and Collaterals	Commission, Charges and taxes	Preview Messages	Settlement Details	Party Details
Contribution Currency : Contribution Amount : Limit Status : Not Verified Collateral Currency : Collateral Contr. : Collateral Status : Not Verified	Charge :GBP 50.00 Commission : Tax : Block Status :Failed	Language : ENG Preview Message : -	Component :OTHBNKCHG_LL Account Number :PK2001044001 Currency :GBP	Advising Bank : CITIBANK IRE Beneficiary : MARKS AND SP Applicant : GOODCARE PLC
Compliance	Accounting Details	Exception(Approval)	Tracer Details	
KYC : Not Initiate Sanctions : Verified AML : Verified	Event :GCLM AccountNumber :313100003 Branch :PK2	AmountBlock,KYC : EXCEPTION PLEASE VISIT :- REMARKS FOR MORE DETAILS	Tracer Code : GUA_CLM_TRAC Required : No Medium : Frequency :	

Tiles Displayed in Summary

- Main User can view the application details and Guarantee/ Standby details. User can modify the details if required.
- Claim Details User can view the claim details.
- Documents Details- User can view the Document details.
- Additional Fields User can view the additional fields.
- Advices User can view the advices details.
- Commission, tax and Charges User can view the details provided for charges. User can modify the details if required.
- Preview Messages User can drill down to view the message preview, legal verification and customer draft confirmation details. The message preview screen has the Legal Verification details.
- Settlement Details User can view the settlement details.
- Party Details User can view the party details like beneficiary, advising bank etc.
- Compliance User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.
- Accounting Details User can view the accounting entries.



When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message "Value Date is different from Transaction Date for one or more Accounting entries.

- Exception (Approval) User can view the exception (Approval) details.
- Tracer Details User can view the tracer details.



Action Buttons

Field	Description	Sample Values
Documents	Click the Documents icon to View/Upload the required documents.	
	Application will display the mandatory and optional documents.	
	Note Not applicable for STP of SWIFT MT	
	765.	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view the overrides accepted by the user.	
Customer Instruction	Click to view/ input the following	
	• Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this.	
	• Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.	
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 765.	
View Undertaking	Clicking this button allows the user to view the underlying Guarantee/SBLC from the back office system.	
Reject	On click of Reject, user must select a reject reason from a list displayed by the system.	
	Reject Codes:	
	 R1- Documents missing 	
	R2- Signature Missing	
	R3- Input Error	
	 R4- Insufficient Balance/Limits R5 - Others. 	
	Select a Reject code and give a reject description.	
	This reject reason will be available in the remarks window throughout the process.	



Field	Description	Sample Values
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Refer	User will be able to refer the task back to the Data Enrichment user.User must select a Refer Reason from the values displayed by the system.	
	Refer Codes:	
	 R1- Documents missing 	
	R2- Signature Missing	
	R3- Input Error	
	 R4- Insufficient Balance- Limits 	
	R5 - Others	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting.	



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Reference and Feedback

References

For more information on any related features, you can refer to the following documents:

- Getting Started User Guide
- Common Core User Guide

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